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#### NOTICE OF ALLOWANCE AND FEE(S) DUE

7590 07/22/2010

TITLE OF INVENTION: GIS-BASED RAPID POPULATION ASSESSMENT TOOL

Pandiscio & Pandiscio, P.C. 470 Totten Pond Road Waltham, MA 02451-1914 EXAMINER

COSIMANO, EDWARD R

ART UNIT PAPER NUMBER

2863 DATE MAILED: 07/22/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/784,325	02/23/2004	Wei Du	HDM-4	8714

APPLN, TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE

nonprovisional YES 5755 \$300 \$0 \$1055 10022/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT.

PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS.

THIS APPLICATION IS SUBJECT TO WITHIDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM

WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW

# HOW TO REPLY TO THIS NOTICE:

DUE.

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and I/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This appropriate. All further indicated unless correcte maintenance fee notificat	form should be used to correspondence including d below or directed off tions	for transmitting the ng the Patent, adva nerwise in Block 1	nce or by (a	E FEE and PUBLICAT ders and notification of ) specifying a new corre	TION FEE (if req maintenance fees espondence addres	nired). I will be s; and/o	Blocks 1 through 5 st mailed to the current r (b) indicating a sepa	nould be completed where correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				No Fer pag hav	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
Pandiscio & Pa 470 Totten Pond Waltham, MA 0	Road	/2010		I b	Ce	rtificat	of Mailing or Trans	
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								(Signature)
								(Date)
APPLICATION NO.	FILING DATE			FIRST NAMED INVENTOR	R	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/784,325	02/23/2004			Wei Du			HDM-4	8714
TITLE OF INVENTION	: GIS-BASED RAPID I	POPULATION AS:	SESSN					
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DU	Е	PUBLICATION FEE DUE	PREV. PAID ISSU	Æ FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755		\$300	\$0		\$1055	10/22/2010
EXAM	INER	ART UNIT		CLASS-SUBCLASS	1			
COSIMANO,	EDWARD R	2863		702-179000	-			
"Fee Address" indi PTO/SB/47; Rev 03-0 Number is required.  3. ASSIGNEE NAME A	ondence address (or Cha 3/122) attached. ication (or "Fee Address 2 or more recent) attach ND RESIDENCE DATA ess an assignee is ident h in 37 CFR 3.11. Comp	nge of Corresponde  "Indication form ted. Use of a Custo A TO BE PRINTEL	mer O ON T		o 3 registered pate ively, gle firm (having as agent) and the nar orneys or agents. I e printed. (pe) patent. If an assignation assignment.	a memb nes of u f no nan	er a 2p to p to lee is 3	ocument has been filed for
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Advance Order - #				The Director is hereb	y authorized to cha	urge the	required fee(s), any det	ficiency, or credit any n extra copy of this form).
	s SMALL ENTITY state	is. See 37 CFR 1.27		☐ b. Applicant is no lo	nger claiming SM/	LLEN	ITTY status. Sec 37 CF	R 1.27(g)(2).
NOTE: The Issue Fee and interest as shown by the r	d Publication Fee (if req records of the United Sta	uired) will not be a tes Patent and Trad	cepted emark	from anyone other than Office.	the applicant; a res	istered	attorney or agent; or th	e assignee or other party in
Authorized Signature					Date			
Typed or printed name					Registration			
This collection of inform an application. Confident submitting the completed this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223	ation is required by 37 C itality is governed by 35 I application form to the ons for reducing this but irginia 22313-1450. DC 13-1450.	EFR 1.311. The info U.S.C. 122 and 37 USPTO. Time wi rden, should be sen O NOT SEND FEE:	CFR CFR Il vary t to the S OR C	n is required to obtain or 1.14. This collection is edepending upon the indiction Offic COMPLETED FORMS T	retain a benefit by stimated to take 12 vidual case. Any o ser, U.S. Patent and O THIS ADDRES	the pub minute ommen I Trader S. SEN	lic which is to file (and s to complete, includin is on the amount of tir nark Office, U.S. Deps D TO: Commissioner I	by the USPTO to process) g gathering, preparing, and ne you require to complete utment of Commerce, P.O. for Patents, P.O. Box 1450,

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#### UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

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10/784,325	02/23/2004	Wei Du	HDM-4	8714	
7590 67/22/2010 Pandiscio & Pandiscio, P.C. 470 Totten Pond Road		EXAMINER			
		COSIMANO, EDWARD R			
		ART UNIT	PAPER NUMBER		
Waltham, MA 02451-1914			2863		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1177 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1177 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

Application No.	Applicant(s)			
10/784,325	DU, WEI			
Examiner	Art Unit			
Edward R Cosimano	2863			

All claims being allowable. PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to the amendment filed 07 June 2010. The allowed claim(s) is/are 1-40. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a)  $\square$  All b) ☐ Some\* c) ☐ None of the: 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. \_\_ 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2) to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. 

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date Information Disclosure Statements (PTO/SB/08). 7. X Examiner's Amendment/Comment Paper No./Mail Date 4. T Examiner's Comment Regarding Requirement for Deposit Examiner's Statement of Reasons for Allowance of Biological Material Other Approved Drawing correction.

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

### 1. EXAMINER'S COMMENT

- 1.1 When preparing this Office action the Examiner considers the instant application to include:
  - A) the Oath/Declaration filed on 23 August 2004 is acceptable to the Examiner;
  - B) the Abstract filed on 23 August 2004 which is acceptable to the Examiner;
- C) figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25 & 26 of the set of drawings containing 26 sheets of 26 figures comprising figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 19, 20, 21, 22, 23, 24, 25 & 26 as presented in the set of drawings filed on 30 July 2009 and figure 18 as presented in the set of drawings filed on 07 June 2010 where the content of figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25 & 26 of the above set of drawings is acceptable to the Examiner;
- D) the Substitute written description as filed on 30 July 2009 and amended on 07 June 2010; and
  - E) the set of claims as filed on 07 June 2010.

# BENEFIT OF AN EARLIER FILING DATE

2.1 Applicant's claim for the benefit of an earlier filing date pursuant to 35 U.S.C. 119(e) is acknowledged.

#### 3 DRAWING CORRECTIONS

- 3.1 The Examiner has approved the proposed changes to figure 18 of the drawings as filed on 07 June 2010.
- 4. RESPONSE TO APPLICANT'S ARGUMENTS
- 4.1 The objections and rejection that have not been repeated here in have been over come by Applicant's last response.
- 5. REASONS FOR ALLOWANCE
- 5.1 The following is a statement of reasons for the indication of allowable subject matter:
  - A) the prior art for example:
  - (1) either Heching et al (2002/0128884 or 7,054,828) disclose a machine/process that provides the useful and beneficial function of making determination about a population based upon an analysis or evaluation of a population using a stratified sample of the population.

- B) however, the prior art does not fairly teach or suggest in regard to claims 1 & 13 a process in claim 1 and a machine in claim 13 that provides the useful and beneficial function of using a spatially-stratified random sample to rapidly assess a population by providing actions in claim 1 and structures in claim 13 that perform at least the functions of:
  - creating a systematic grid for a study area, where the grid is defined using a given number of target grid points;
  - (2) dividing each grid cell into a series of sub-grid cells that are identified from top to bottom and from left to right with in each grid cell;
    - (3) identifying the sub-grid of cells that intersect the study area;
  - (4) listing of the identified sub-grid of cells that intersect the study area in the same order/sequence as the grid cells and sub-grid cells;
  - (5) dividing the sub-grid lists into one or more sections, where each section of the sub-grid list has a substantially equal number of sub-grid cells and the number of sections of sub-lists equals the given number of target grid points; and
  - (6) obtaining a "spatially stratified random sample" by randomly selecting a subgrid cell from each section of the sub-grid listing.

Claims 2-12, which depend from claim 1, and claims 14-22, which depend from claim 13, are allowable for the same reason.

- C) however, the prior art does not fairly teach or suggest in regard to claims 23 & 27 a process in claim 23 and a machine in claim 27 that provides the useful and beneficial function of rapidly assess a population by providing actions in claim 23 and structures in claim 27 that perform at least the functions of:
  - (1) determining a boundary of a study area;
  - (2) selecting a population estimation methodology for use on the study area;
  - (3) assigning field data/information collectors to areas with in the study area;
  - (4) collecting field data/information from the assigned field data/information collectors:
    - (5) uploading/transferring the collected field data/information to a computer;
  - (6) using the computer to prepare/determine a dynamic population estimate/prediction;

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- (7) using the dynamic population estimate to make resource analysis calculations and geographic assignments;
- (8) uploading the determined resource analysis calculations and geographic assignments to the Internet for remote viewing; and
- (9) using the uploaded resource analysis calculations and geographic assignments to distribute supplies.

Claims 24-26, which depend from claim 23, and claim 28, which depends from claim 27, are allowable for the same reason.

- D) however, the prior art does not fairly teach or suggest in regard to claim 29 a process in claim 29 that provides the useful and beneficial function of using a spatially-stratified random sample to rapidly assess a population by providing actions in claim 29 that perform at least the functions of:
  - (1) loading at least one of "satellite imagery" and "aerial imagery" of a study area into a geographical information system (GIS);
  - (2) demarcating the dwellings contained with in the "satellite imagery" that has been loaded into the GIS;
  - (3) applying a spatially stratifying sampling procedure with a predetermined estimate:
  - (4) simulating a distance measurement procedure based on a selected population estimate method on top of the at least one satellite imagery and aerial imagery;
  - (5) guiding an user to determine mouse insertion points by displaying reference lines and point based on the selected population estimation method;
  - (6) computing distance measurements from the insert mouse input points for the selected population estimation method;
  - (7) prompting the user to enter data/information in order to increase productivity for distance measurements and data/information collection: and
  - (8) ascertaining an overall population with in the study area based on the applied spatially stratifying sampling procedure.

Claims 30-32, which depend from claim 29, are allowable for the same reason.

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- E) however, the prior art does not fairly teach or suggest in regard to claims 33 & 40 a machine in claim 33 and a process in claim 40 that provides the useful and beneficial function of using a spatially-stratified random sample to rapidly assess a population by providing structures in claim 33 and actions in claim 40 that perform at least the functions of:
  - (1) loading "satellite imagery" for a study area into a geographical information system (GIS);
  - (2) demarcating the dwellings contained with in the "satellite imagery" that has been loaded into the GIS;
  - (3) applying a spatially stratifying sampling procedure with a predetermined estimate; and
  - (4) ascertaining an overall population with in the study area based on the applied spatially stratifying sampling procedure.

Claims 33-39, which depend from claim 33, are allowable for the same reason.

## 6. CONCLUSION

- 6.1 Any inquiry concerning this communication or earlier communications from the Examiner should be directed to Edward R. Cosimano whose telephone number is 571-272-0571. The Examiner can normally be reached on 571-272-0571 from 7:30am to 4:00pm.
- 6.2 If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Drew Dunn, can be reached on 571-2722312. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.
- 6.3 Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="https://portal.uspto.gov/external/portal">https://portal.uspto.gov/external/portal</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

ERC 07/20/2010